

# COMMON TRANSACTION FORM

**Sponsor:** Edelweiss Financial Services Limited. **Trustee Company:** Edelweiss Trusteeship Company Limited. **Investment Manager:** Edelweiss Asset Management Limited. 801, 802 & 803, 8th Floor, Windsor, Off C.S.T. Road, Kalina, Santacruz (E), Mumbai 400098, Maharashtra. **Website:** www.edelweissmf.com

DISTRIBUTOR INFORMATION					FOR OFFICE USE ONLY		Application No:
Name & Distributor Code	Sub-Broker Code	Sub-Broker Code	Employee Unique	E - Code	RIA Code	Registrar/Bank Serial No.	Date & Time of Receipt
	ARN	Internal Code	Identification No. (EUIIN)*		Only for Direct Investments		

\*Investors should mention the EUIIN of the person who has advised the investor. If left blank, the fund will assume following declaration by the investor "I/We hereby confirm that the EUIIN box has been intentionally left blank by me/us as this is an "execution-only" transaction without any interaction or advice by the employee/relationship manager/sales person of the above distributor or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributor and the distributor has not charged any advisory fees on this transaction". Upfront commission shall be paid directly by the investor to the AMFI registered Distributors based on the investors' assessment of various factors including the service rendered by the distributor. For Direct investments, please mention "Direct" in the column 'Name & Distributor Code'

**1** Folio No. / Application No. \_\_\_\_\_ 1st /Sole Unit Holder Name \_\_\_\_\_

**2** SCHEME DETAILS Choice of Scheme /Plan / Option [Please ✓]

Scheme/Plan/Option/Facility	Edelweiss-	Scheme	Plan	Option/Facility

(Default Plan/Option/Facility will be applied in case of no information, ambiguity or discrepancy)

**Schemes offered by Edelweiss Mutual Fund:**

Equity Schemes	Debt Schemes
Edelweiss Balanced Advantage Fund	Edelweiss Liquid Fund
Edelweiss Large Cap Fund	Edelweiss Dynamic Bond Fund
Edelweiss Long Term Equity Savings Fund(Tax Savings)	Edelweiss Short Term Fund
Edelweiss Mid Cap Fund	Edelweiss Corporate Bond Fund
Edelweiss Multi-asset Allocation Fund	Edelweiss Government Securities Fund
Edelweiss Arbitrage Fund	Edelweiss Banking and PSU Debt Fund
Edelweiss Equity Savings Fund	Edelweiss Low Duration Fund
Edelweiss Large & Mid Cap Fund	
Edelweiss Tax Advantage Fund	
Edelweiss Multi Cap Fund	
Edelweiss Emerging Markets Opportunities Equity Offshore Fund	
Edelweiss US Value Equity Off-shore Fund	
Edelweiss Europe Dynamic Equity Offshore Fund	
Edelweiss Greater China Equity Off-shore Fund	
Edelweiss ASEAN Equity Off-shore Fund	

**3** ADDITIONAL PURCHASE

Cheque/ DD No. \_\_\_\_\_ Cheque Date \_\_\_\_\_ Cheque/ DD Amount (₹) \_\_\_\_\_

DD Charges ₹ \_\_\_\_\_ Net Amount ₹ \_\_\_\_\_ Net Amount in words (₹) \_\_\_\_\_

Bank Name: \_\_\_\_\_ Branch and City \_\_\_\_\_

**4**  NORMAL REDEMPTION

Amount: ₹ \_\_\_\_\_ OR No. of Units: \_\_\_\_\_ OR All Units:  [Please ✓]

**For investors who have registered for Multiple Bank Accounts facility# in the above folio:**

The redemption should be processed into the following bank account as per the payout mechanism indicated by me/us (This bank account has already been registered in the folio):

Name of the Bank: \_\_\_\_\_ Branch: \_\_\_\_\_

Account No.: \_\_\_\_\_ Account Type: \_\_\_\_\_ Bank City: \_\_\_\_\_

Important Note: If the bank account mentioned above is different from those already registered in your folio OR if the bank account details are not filled above, the redemption will be processed into the "Default" bank account registered for the aforesaid folio. Edelweiss Mutual Fund Asset Management Ltd. will not be liable for any loss arising to the unitholder(S) due to the credit of redemption proceeds into any of the bank accounts registered with us for the aforesaid folio.

**5**  NORMAL SWITCH

From Scheme \_\_\_\_\_ To Scheme \_\_\_\_\_ Plan \_\_\_\_\_ Option \_\_\_\_\_

Frequency \_\_\_\_\_ Amount ₹ \_\_\_\_\_ OR No. of Units: \_\_\_\_\_ OR All Units:  [Please ✓]

Dividend Sweep to Scheme \_\_\_\_\_

**6** FATCA/CRS/KYC Additional Details (Non Individual Investors should mandatory fill separate FATCA/CRS/KYC Additional details and UBO form)

Sole / First Applicant / Guardian			2nd Applicant			3rd Applicant			POA
Place & Country of Birth	PLACE	COUNTRY	Place & Country of Birth	PLACE	COUNTRY	Place & Country of Birth	PLACE	COUNTRY	

#Please indicates all Countries, other than India, in which you are a resident for tax purpose, associated Taxpayer Identification Number and it's Identification type e.g.: TIN etc.

Country #	Tax Identification Number	Identification Type	Country #	Tax Identification Number	Identification Type	Country #	Tax Identification Number	Identification Type
1			1			1		
2			2			2		
3			3			3		

Name of 1st Applicant Mr. Ms. \_\_\_\_\_ PAN \_\_\_\_\_

<b>Gross Annual Income [please ✓]*</b> <input type="checkbox"/> Below 1 Lac <input type="checkbox"/> 1-5 Lacs <input type="checkbox"/> 5-10 Lacs <input type="checkbox"/> 10-25 Lacs <input type="checkbox"/> >25 Lacs-1 crore <input type="checkbox"/> >1 crore	<b>Occupation* [please ✓]</b> <input type="checkbox"/> Business <input type="checkbox"/> Service <input type="checkbox"/> Professional <input type="checkbox"/> Agriculturist <input type="checkbox"/> House Wife <input type="checkbox"/> Student <input type="checkbox"/> Defence <input type="checkbox"/> Bureaucrat <input type="checkbox"/> Forex Dealer <input type="checkbox"/> Unlisted <input type="checkbox"/> Company <input type="checkbox"/> Body Corporate <input type="checkbox"/> Listed Company <input type="checkbox"/> Others <i>Please Specify</i> <b>For Individual Investor*</b> Politically Exposed Person (PEP) <input type="checkbox"/> Yes <input type="checkbox"/> No Related to PEP <input type="checkbox"/> Yes <input type="checkbox"/> No	<b>Legal Status* [please ✓]</b> <input type="checkbox"/> Resident Individual <input type="checkbox"/> FII's <input type="checkbox"/> Society/Club <input type="checkbox"/> AOP/BOI <input type="checkbox"/> NRI/PIO <input type="checkbox"/> FI <input type="checkbox"/> HUF <input type="checkbox"/> Minor <input type="checkbox"/> Partnership Firm <input type="checkbox"/> Bank <input type="checkbox"/> Trust <input type="checkbox"/> Company/Body Corporate <input type="checkbox"/> NPO <input type="checkbox"/> Others <i>Please Specify</i>
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**ACKNOWLEDGEMENT SLIP (To be filled by the investor)** Folio No/ Application No: \_\_\_\_\_

Received from Mr./ Ms. \_\_\_\_\_

Scheme \_\_\_\_\_ Plan \_\_\_\_\_ Option \_\_\_\_\_

Additional Purchase: Cheque No. \_\_\_\_\_ Drawn on \_\_\_\_\_ Dated \_\_\_\_\_ ₹ \_\_\_\_\_

Redemption  Switch Amount (₹)/ Units \_\_\_\_\_  Change of Contact Details  Change of Bank Account

For Office use (Signature of receiving authority) \_\_\_\_\_ Date of receipt/ Time of Receipt: \_\_\_\_\_

Name of 2nd Applicant		Mr.	Ms.											PAN				
<b>Gross Annual Income [please ✓]*</b>				<b>Occupation* [please ✓]</b>										<b>Legal Status* [please ✓]</b>				
<input type="checkbox"/> Below 1 Lac	<input type="checkbox"/> 1-5 Lacs	<input type="checkbox"/> Business <input type="checkbox"/> Service <input type="checkbox"/> Professional <input type="checkbox"/> Agriculturist <input type="checkbox"/> House Wife <input type="checkbox"/> Student <input type="checkbox"/> Defence <input type="checkbox"/> Bureaucrat <input type="checkbox"/> Forex Dealer <input type="checkbox"/> Unlisted <input type="checkbox"/> Company <input type="checkbox"/> Body Corporate <input type="checkbox"/> Listed Company <input type="checkbox"/> Others <i>Please Specify</i>												<input type="checkbox"/> Resident Individual	<input type="checkbox"/> FII's	<input type="checkbox"/> Society/Club	<input type="checkbox"/> AOP/BOI	
<input type="checkbox"/> 5-10 Lacs	<input type="checkbox"/> 10-25 Lacs	<b>For Individual Investor*</b> Politically Exposed Person (PEP) <input type="checkbox"/> Yes <input type="checkbox"/> No Related to PEP <input type="checkbox"/> Yes <input type="checkbox"/> No												<input type="checkbox"/> NRI/PIO	<input type="checkbox"/> FI	<input type="checkbox"/> HUF	<input type="checkbox"/> Minor	<input type="checkbox"/> Partnership Firm
<input type="checkbox"/> >25 Lacs-1 crore	<input type="checkbox"/> >1 crore													<input type="checkbox"/> Bank	<input type="checkbox"/> Trust	<input type="checkbox"/> Company/Body Corporate	<input type="checkbox"/> NPO	
												<input type="checkbox"/> Others <i>Please Specify</i>						

Name of 3rd Applicant		Mr.	Ms.											PAN				
<b>Gross Annual Income [please ✓]*</b>				<b>Occupation* [please ✓]</b>										<b>Legal Status* [please ✓]</b>				
<input type="checkbox"/> Below 1 Lac	<input type="checkbox"/> 1-5 Lacs	<input type="checkbox"/> Business <input type="checkbox"/> Service <input type="checkbox"/> Professional <input type="checkbox"/> Agriculturist <input type="checkbox"/> House Wife <input type="checkbox"/> Student <input type="checkbox"/> Defence <input type="checkbox"/> Bureaucrat <input type="checkbox"/> Forex Dealer <input type="checkbox"/> Unlisted <input type="checkbox"/> Company <input type="checkbox"/> Body Corporate <input type="checkbox"/> Listed Company <input type="checkbox"/> Others <i>Please Specify</i>												<input type="checkbox"/> Resident Individual	<input type="checkbox"/> FII's	<input type="checkbox"/> Society/Club	<input type="checkbox"/> AOP/BOI	
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<input type="checkbox"/> >25 Lacs-1 crore	<input type="checkbox"/> >1 crore													<input type="checkbox"/> Bank	<input type="checkbox"/> Trust	<input type="checkbox"/> Company/Body Corporate	<input type="checkbox"/> NPO	
												<input type="checkbox"/> Others <i>Please Specify</i>						

**7 CHANGE OF CONTACT DETAILS**

Tel No. \_\_\_\_\_ Residence \_\_\_\_\_ Office \_\_\_\_\_  
 Fax \_\_\_\_\_ Mobile \_\_\_\_\_ E-Mail \_\_\_\_\_

**8 CHANGE OF BANK DETAILS\***

Bank Name \_\_\_\_\_ Account No \_\_\_\_\_  
 Branch & Address \_\_\_\_\_ City \_\_\_\_\_  
 PIN \_\_\_\_\_ Payment Location \_\_\_\_\_ A/c Type:  SB  CA  NRE  NRO  FCNR  
 IFSC Code \_\_\_\_\_ 9 Digit MICR No. \_\_\_\_\_

Preferred mode of payment: Electronic Credit/RTGS/NEFT/ECS (ECS only for dividend payout).  
 \*Mandatory – Please attach cancelled original cheque / self certified copy of blank cheque / self certified Bank Statement / first page of the Bank Pass book (bearing account number and first unit holder name on the face of the cheque/ Bank Pass Book/ Bank Statement) is required as an incremental additional document in case of: a) Registration of the investor's Bank Mandate at the time of investment b) Subsequent change in the investor's Bank Mandate.

**9 DECLARATION**

I/We have read and understood the contents of the Statement of Additional Information (SAI) & respective Scheme Information Document (SID) and Key Information Memorandum (KIM), and Addendums. I/We agree to abide by the terms, conditions, rules & regulations of the Scheme(s) as applicable from time to time. Amount invested/to be invested in the Schemes is derived through legitimate sources.  
 The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us.

SIGNATURE/S			
	Sole/ 1st Holder	2nd Holder	3rd Holder

In case of Joint Holding, all unit holders must sign this form.

"In case there is any change in your KYC information please update the same by using the prescribed 'KYC Change Request form' and submit the same at the Point of Service of any KYC Registration Agency"