



ICICI PRUDENTIAL SIP INSURE

[Common Application for SIP Insure]

Application No. _____

Please read the INSTRUCTIONS carefully. All the sections to be completed in BLOCK LETTERS in ENGLISH with BLACK / BLUE COLOURED INK.

ARN- BR111873DE	SUB-BROKER CODE	FOR OFFICIAL USE ONLY
Upfront commission shall be paid directly by the investor to the AMFI registered Distributors based on the investors' assessment of various factors including the service rendered by the distributor.		SERIAL NUMBER, DATE & TIME OF RECEIPT

TRANSACTION CHARGES FOR APPLICANTS THROUGH DISTRIBUTORS ONLY [Refer Instruction XI and please tick (✓) any one]

<input type="checkbox"/> I confirm that I am a First time investor across Mutual Funds. (Rs. 150 deductible as Transaction Charge and payable to the Distributor)	<input type="checkbox"/> I confirm that I am an existing investor in Mutual Funds. (Rs. 100 deductible as Transaction Charge and payable to the Distributor)
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In case the purchase / subscription amount is Rs. 10,000 or more and your Distributor has opted to receive Transaction Charges, the same are deductible as applicable from the purchase/subscription amount and payable to the Distributor. Units will be issued against the balance amount invested.

1 APPLICANT(S) DETAILS (Please refer to Instruction No. II) Mandatory information – If left blank the application is liable to be rejected.

1st Applicant	Mr. Ms. M/s	FIRST	MIDDLE	LAST	Date of Birth*	D	D	M	M	Y	Y	Y	Y
Gender*	<input type="radio"/> Male <input type="radio"/> Female [Please tick (✓)]		PAN*		Enclosed (Please ✓) ⁵	<input type="radio"/> Attested PAN Card		<input type="radio"/> KYC Acknowledgement Letter					
2nd Applicant	Mr. Ms.	FIRST	MIDDLE	LAST	Date of Birth	D	D	M	M	Y	Y	Y	Y
PAN*					Enclosed (Please ✓) ⁵	<input type="radio"/> Attested PAN Card		<input type="radio"/> KYC Acknowledgement Letter					
3rd Applicant	Mr. Ms.	FIRST	MIDDLE	LAST	Date of Birth	D	D	M	M	Y	Y	Y	Y
PAN*					Enclosed (Please ✓) ⁵	<input type="radio"/> Attested PAN Card		<input type="radio"/> KYC Acknowledgement Letter					

⁵ For PAN & KYC requirements, please refer to the instruction Nos. II(3) & X

Mode of holding [Please tick (✓)] <input type="radio"/> Single <input type="radio"/> Joint <input type="radio"/> Anyone or Survivor (Default option: Anyone or Survivor)	Status of First Applicant [Please tick (✓)] <input type="radio"/> NRI/PIO <input type="radio"/> Resident Individual
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Correspondence Address (Please provide full address)* HOUSE / FLAT NO. STREET ADDRESS STREET ADDRESS CITY / TOWN STATE COUNTRY PIN CODE	Overseas Address (Mandatory for NRI / FII Applicants) HOUSE / FLAT NO. STREET ADDRESS STREET ADDRESS CITY / TOWN STATE COUNTRY PIN CODE
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Tel. (Off.)	Tel. (Res.)	Fax
Email [£]	Mobile	
Occupation [Please tick (✓)] <input type="radio"/> Professional <input type="radio"/> Business <input type="radio"/> Retired <input type="radio"/> Housewife <input type="radio"/> Service <input type="radio"/> Student <input type="radio"/> Others (Please specify)		

Please ✓ if you wish to receive Account statement / Annual Report/ Other statutory information via Post instead of Email

Please ✓ any of the frequencies to receive **Account Statement through e-mail[£]** : Daily Weekly Monthly Quarterly Half Yearly Annually

* Mandatory information – If left blank the application is liable to be rejected. [£] Please refer to instruction no.IX

2 BANK ACCOUNT DETAILS OF FIRST APPLICANT (Please Refer to Instruction No. III) Mandatory information – If left blank the application is liable to be rejected.

MANDATORY	Account Type	<input type="radio"/> Current <input type="radio"/> Savings <input type="radio"/> NRO <input type="radio"/> NRE <input type="radio"/> FCNR	Account Number	
	Name of Bank			
	Branch Name	Branch City		
	9 Digit MICR code	11 Digit IFSC Code		

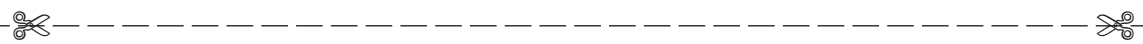
3 INVESTMENT & PAYMENT DETAILS (Refer Instruction No. IV) For Plans & Sub-options please see Key Scheme Features for the scheme specific

Name of scheme **ICICI PRUDENTIAL**

Option & Sub option (Please ✓ the appropriate boxes only if applicable to the scheme in which you plan to invest)

<input type="radio"/> Retail/Regular Option	<input type="radio"/> Growth/Cumulative	OR	Dividend – <input type="radio"/> Reinvestment or <input type="radio"/> Payout
<input type="radio"/> Institutional Option	Dividend Frequencies <input type="radio"/> Daily <input type="radio"/> Weekly <input type="radio"/> Fortnightly <input type="radio"/> Monthly <input type="radio"/> Quarterly <input type="radio"/> Half Yearly		

SIP Through ECS/Standing Instruction / Direct Debit PDCs¹ **SIP Date** 7th 10th 15th 25th **SIP Frequency*** Monthly Quarterly



Application No. _____

FOR ANY ASSISTANCE OR FURTHER INFORMATION PLEASE CONTACT US
ICICI Prudential Asset Management Company Limited
 3rd Floor, Hallmark Business Plaza, Sant Dyaneshwar Marg, Bandra (East), Mumbai - 400 051. India

SIGNATURE, STAMP & DATE

TOLL FREE NUMBER 1800 222 999 (MTNL/BSNL) 1800 200 6666 (OTHERS) **EMAIL** enquiry@icicipruamc.com **WEBSITE** www.icicipruamc.com

Note: All future communications in connection with this application should be addressed to the nearest ICICI Prudential Mutual Fund Customer Service Centre, quoting full name of the first applicant, the application serial number, the name of the scheme, the amount invested, date and the place of the Customer Service Centre where application was lodged.

Micro SIPs (Please) Mandatory for Investment of equal to or less than ₹ 50,000/- per annum under SIP registration (Please refer instruction No. V(h))

1 st Applicant	PHOTO IDENTIFICATION DOCUMENT TYPE (MANDATORY) (IN CASE PAN HAS NOT BEEN PROVIDED)	I.D. CARD NUMBER / REFERENCE NUMBER
2 nd Applicant	PHOTO IDENTIFICATION DOCUMENT TYPE (MANDATORY) (IN CASE PAN HAS NOT BEEN PROVIDED)	I.D. CARD NUMBER / REFERENCE NUMBER
3 rd Applicant	PHOTO IDENTIFICATION DOCUMENT TYPE (MANDATORY) (IN CASE PAN HAS NOT BEEN PROVIDED)	I.D. CARD NUMBER / REFERENCE NUMBER

Payment Details for First Cheque/DD

Mode of Payment Cheque DD Funds Transfer NEFT RTGS

Amount Paid ₹ DD Charges (if applicable) ₹ Amount Invested ₹

Cheque/DD Number Date Account Number

Account Type Current Savings NRO NRE FCNR

Bank Name **Bank Branch & City**

Subsequent SIP Instalment Details

From Cheque No. to Cheque No. Amount Invested ₹

No. of Cheques Drawn on

Start Month/Year SIP Insure Tenure 55 yrs – Your Current Age yrs = yrs For more information ref. Instruction No. XII(5).
(E.g. Your Current Age is 40 years, then your SIP Insure Tenure would be 55 years – 40 years = 15 years.)

*Default SIP Frequency is Monthly. †PDCs - Post Dated Cheques
Applications with Third Party Cheques, pre-funded instruments etc. and in circumstances as detailed in AMFI Circular No.135/BP/16/10-11 shall be processed in accordance with the said circular. Please read the instruction no. VI(e). Third Party Payment Declaration form is available on www.icicipruamc.com or at ICICI Prudential Mutual Fund branch offices.

4 NOMINATION DETAILS (Mandatory): • For Multiple nominations, please use the separate form available on AMC's website www.icicipruamc.com or with its branch offices. (Refer instruction VII)

I/We hereby nominate the under mentioned Nominee to receive the amounts to my/our credit in event of my/our death.
I/We also understand that all payments and settlements made to such Nominee and signature of the Nominee acknowledging receipt thereof, shall be a valid discharge by the AMC / Mutual Fund / Trustees.

Date of Birth is MANDATORY in case Nominee is a minor

Nominee	NAME OF NOMINEE	Date of Birth	<input type="text" value="D D M M Y Y"/>
Guardian	MANDATORY, IF NOMINEE IS A MINOR	Relationship with the minor (✓)	<input type="radio"/> Natural guardian <input type="radio"/> Court appointed guardian
Nominee's Address	HOUSE / FLAT NO	STREET ADDRESS	
	CITY / TOWN	PIN CODE	SIGNATURE OF NOMINEE / GUARDIAN, IF NOMINEE IS A MINOR

Please note that this nomination will be registered for insurance also.

SIGNATURE OF SOLE / FIRST APPLICANT	SIGNATURE OF SECOND APPLICANT	SIGNATURE OF THIRD APPLICANT
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5 INVESTOR(S) DECLARATION & SIGNATURE(S)

The Trustee, ICICI Prudential Mutual Fund,
I am informed about the arrangement between ICICI Prudential Mutual Fund and the Insurance Company and about the details of the Master Policy Document. I understand that I am eligible to avail cover under such arrangement; and hereby wish to avail the said insurance cover.
I/We have read and understood the Scheme Information Document/Key Information Memorandum of the Scheme(s). I/We apply for the units of the Fund and agree to abide by the terms, conditions, rules and regulations of the scheme and other statutory requirements of SEBI, AMFI, Prevention of Money Laundering Act, 2002 and such other regulations as may be applicable from time to time. I/We confirm to have understood the investment objectives, investment pattern, and risk factors applicable to Plans/Options under the Scheme(s). I/We have not received nor been induced by any rebate or gifts, directly or indirectly, in making this investment. I/We declare that the amount invested in the Scheme is through legitimate sources only and is not designed for the purpose of contravention or evasion of any Act, Regulations or any other applicable laws enacted by the Government of India or any Statutory Authority. I/We agree that in case my/our investment in the Scheme is equal to or more than 25% of the corpus of the plan, then ICICI Prudential Asset Management Co. Ltd.(the 'AMC'), has full right to refund the excess to me/us to bring my/our investment below 25%. I/We hereby declare that I am/we are not US Person(s). I/We hereby declare that I/we do not have any existing Micro SIPs which together with the current application will result in a total investments exceeding Rs.50,000 in a year. The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us. I/We interested in receiving promotional material from the AMC via mail, SMS, telecall, etc. **If you do not wish to receive, please call on tollfree no. 1800 222 999 (MTNL/BSNL) or 1800 200 6666 (Others).**

SIGNATURE OF SOLE / FIRST APPLICANT	SIGNATURE OF SECOND APPLICANT	SIGNATURE OF THIRD APPLICANT
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ACKNOWLEDGEMENT SLIP **ICICI PRUDENTIAL SIP INSURE**
Please Retain this Slip To be filled in by the Investor.
Subject to realization of cheque and furnishing of Mandatory Information.

Scheme	ICICI PRUDENTIAL	SCHEME AND OPTION	₹ TOTAL AMOUNT	₹ AMOUNT PER CHEQUE
From Cheque/DD No.	<input type="text"/>	To Cheque/DD No.	BANK AND BRANCH	
From Date	<input type="text" value="M M Y Y Y Y"/>	SIP Insure Tenure	55 yrs – Your Current Age <input type="text"/> yrs = <input type="text"/> yrs For more information ref. Instruction No. XII(5). (E.g. Your Current Age is 40 years, then your SIP Insure Tenure would be 55 years – 40 years = 15 years.)	



ICICI PRUDENTIAL SIP INSURE

Application No. _____

[Application for investment through ECS (Debit Clearing)/ Direct Debit Facility/Standing Instruction]

Please read the INSTRUCTIONS carefully. All the sections to be completed in BLOCK LETTERS in ENGLISH with BLACK / BLUE COLOURED INK.
Applicant need to fill in the Main SIP Insure Application Form and submit along with this ECS form.

Please tick (✓) <input type="checkbox"/> New Registration	<input type="checkbox"/> Cancellation	Date: <input type="text" value="D"/> <input type="text" value="D"/> <input type="text" value="M"/> <input type="text" value="M"/> <input type="text" value="Y"/> <input type="text" value="Y"/>
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The Trustee, ICICI Prudential Mutual Fund,

I/We have read and understood the contents of the Scheme Information Document of the following Scheme and the terms and conditions of the SIP Enrolment.

Sole/First Applicant's Name

Mr. Ms.	FIRST	MIDDLE	LAST
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Scheme Name: ICICI PRUDENTIAL _____

Plan/Option*: _____ Sub-Option*: _____

**Please refer to the scheme related documents available under AMC's website www.icicipruamc.com or with any of its branches.*

Each SIP Amount: Rs. Rupees in words: _____

SIP Frequency: Monthly Quarterly
(Default SIP frequency is Monthly)

SIP Date: 7th 10th 15th 25th

SIP Start Month/Year

SIP Insure Tenure
55 yrs – Your Current Age yrs
= yrs
For more information ref. Instruction No. XII(5).

YOUR CONFIRMATION/DECLARATION: I/We hereby declare that I/we do not have any existing Micro SIPs which together with the current application will result in a total investments exceeding Rs.50,000 in a year. The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us.

Signature(s) as per ICICI Prudential Mutual Fund Records (Mandatory)

1st Holder	2nd Holder	3rd Holder
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I/We, Mr. / Ms. / M/s. _____ (NAME AS PER THE BANK RECORD) _____ (NAME AS PER THE BANK RECORD)

hereby authorise ICICI Prudential Mutual Fund and their authorised service providers to debit from my/our Bank Account No. mentioned below (hereinafter referred as "funding account") by ECS (Debit Clearing)/ Direct Debit for collection of SIP payments/authorise the bank to record a Standing Instruction for debit to my bank account as mentioned below, as instructed by ICICI Prudential Mutual Fund.

PARTICULARS OF BANK ACCOUNT

Account Type Current Savings NRO NRE FCNR Account Number _____

Name of Bank _____

Branch Name _____ Branch City _____

9 Digit MICR code _____ (Please enter the 9 digit number that appears next to the cheque number). In case of At Par accounts, kindly provide the correct MICR number of the bank branch. MICR code starting and/or ending with 000 are not valid for ECS.

Enclosed [please tick (✓)]: Blank cancelled cheque Photocopy of Cheque [Please refer to Instruction No. C(5)]

Authorisation of the Bank Account Holder for Auto Debit (ECS)/Standing Instruction/Direct Debit

I/We have read and understood the contents of the Scheme Information Document(s) and Statement of Additional Information and the terms & conditions of SIP enrolment and ECS (Debit Clearing) / Direct Debit / Standing Instruction and agree to abide by the same. I/We hereby apply to the Trustee of ICICI Prudential Mutual Fund for enrolment under the SIP of the following Scheme(s)/ Plan(s) / Option(s) and agree to abide by the terms and conditions of the same. I/We hereby declare that the particulars given above are correct and express my willingness to make payments referred above through participation in ECS. This is to inform I/we have registered for the RBI's Electronic Clearing Service (Debit Clearing) and that my payment towards my investment in ICICI Prudential Mutual Fund shall be made from my/our below mentioned bank account with your bank. I/We authorise the representative carrying this ECS mandate Form to get it verified & executed. I/We authorise the bank to honour the instructions as mentioned in the application form. I/We also hereby authorise bank to debit charges towards verification of this mandate, if any. I/We agree that AMC/Mutual Fund (including its affiliates), and any of its officers directors, personnel and employees, shall not be held responsible for any delay/wrong debits on the part of the bank for executing the direct debit instructions of additional sum on a specified date from my account. If the transaction is delayed or not effected at all for reasons of incomplete or incorrect information, I/We would not hold the user institution responsible. I/We confirm to have understood that the introduction of this facility may also give rise to operational risks and hereby take full responsibility. I/We undertake to keep sufficient funds in the funding account on the date of execution of standing instruction. I/We have not received nor been induced by any rebate or gifts, directly or indirectly, in making this investment. The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him/them for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us. I/We agree that AMC/Mutual Fund (including its affiliates), and any of its officers directors, personnel and employees, shall not be held responsible for any delay / wrong debits on the part of the bank for executing the standing instructions of additional sum on a specified date from my account.

SIGNATURE(S) OF BANK ACCOUNT HOLDER(S) AS IN BANK RECORDS (Mandatory)

1st Holder	2nd Holder	3rd Holder
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BANK MANDATE SECTION (Mandatory)

TERMS AND CONDITIONS

A) SIP Payment through Electronic Clearing Service (Debit Clearing) of the Reserve Bank of India (RBI)

List Cities for SIP Auto Debit via ECS (Debit Clearing)

• Agra • Ahmedabad • Allahabad • Amritsar • Anand • Asansol • Aurangabad • Bangalore • Baroda • Belgaum • Bhavnagar • Bhilwara • Bhopal • Bhubaneswar • Bikaner • Burdwan • Calicut • Chandigarh • Chennai • Cochin • Coimbatore • Cuttack • Davangere • Dehradun • Delhi • Dhanbad • Durgapur • Erode • Gadag • Gangtok • Gorakhpur • Gulbarga • Guwahati • Gwalior • Hassan • Hubli • Hyderabad • Indore • Jabalpur • Jaipur • Jalandhar • Jammu • Jamnagar • Jamshedpur • Jodhpur • Kakinada • Kanpur • Kolhapur • Kolkata • Kota • Lucknow • Ludhiana • Madurai • Mandya • Mangalore • Mumbai • Mysore • Nagpur • Nasik • Nellore • Panjim • Patna • Pondicherry • Pune • Raichur • Raipur • Rajkot • Ranchi • Salem • Shimla • Shimoga • Siliguri • Solapur • Surat • Tirunelveli • Tirupati • Tirupur • Trichur • Trichy • Trivandrum • Tumkur • Udaipur • Udipi • Varanasi • Vijayawada • Vishakhapatnam.

- This facility is offered to the investors having bank accounts **in the select cities mentioned above**. The cities in the list may be modified/updated/changed/removed at any time in future entirely at the discretion of ICICI Prudential Mutual Fund without assigning any reasons or prior notice. If any city is removed, SIP instructions for investors in such cities via ECS (Debit) route will be discontinued without prior notice.
- The bank account provided for ECS (Debit) should participate in local MICR clearing.
- SIP auto debit is available only on specific dates of the month viz. 7th/10th/15th/25th. In case 7th/10th/15th/25th is a holiday, then next business day. In case the Auto Debit does not take effect for three consecutive times then the SIP would be liable for cancellation.
- In case of SIP transaction where, the mode of payment is through Standing Instruction/Auto Debit facility (offered by select banks) or ECS, investors are not required to do an initial purchase transaction for the minimum amount as applicable. However, investors are required to submit SIP request at least 30 days prior to the date of first instalment.

Investors subscribing for SIP are required to submit SIP request at least 30 days prior to the date of first debit date and SIP start date shall not be beyond 60 days for monthly SIP and 100 days for Quarterly SIP from the date of submission of SIP application.

The applicant will have the right to discontinue SIP at any time he or she so desires by providing a written request at the office of the ICICI Prudential Mutual Fund Customer Service Centres. Notice of discontinuance should be received **30 days prior to the subsequent SIP date**.
- The investor agrees to abide by the terms and conditions of ECS facility of Reserve Bank of India (RBI).
- Investor will not hold ICICI Prudential Mutual Fund, its registrars and other service providers responsible if the transaction is delayed or not effected or the investor bank account is debited in advance or after the specific SIP date due to various clearing cycles for ECS.
- ICICI Prudential Mutual Fund reserves the right to reject any application without assigning any reason thereof.
- In case of "At Par" cheques, investors need to mentioned the MICR number of his actual bank branch.
- If the investor fails to mention the scheme name in the SIP Mandate Form, then the Fund reserves the right to register the SIP as per the scheme name available in the main application form. In case multiple schemes are mentioned in the main application form, the Fund reserves the right to reject the SIP request.

- In case SIP date is not selected, then the SIP will be registered on 10th (default date) of each Month/Quarter, as applicable. Further if multiple SIP dates are opted for or if the selection is not clear, then the SIP will be registered for 10th of each Month/Quarter, as applicable.
- If the investor has not mentioned the SIP start month, SIP will start from the next applicable month, subject to completion of 30 days lead time from the receipt of SIP request.

B) SIP Payment through Standing Instruction/Direct Debit Facility

- Standing Instruction/Direct Debit facility is offered to the investors having Bank Account with:

Nature of facility	Banks
Standing instruction	Axis Bank, HDFC Bank, ICICI Bank, State Bank of India & The Dhanalakshmi Bank Ltd.
Direct debit	IDBI Bank, Indusind Bank & Kotak Mahindra Bank.
Direct debit (Only Core Banking branches*)	Allahabad Bank, Bank of Baroda, Bank of India, Corporation Bank, ING Vysya Bank Ltd., Punjab National Bank, The Federal Bank Ltd., UCO Bank and Union Bank of India.

* Please contact your local bank branch to confirm if it offers core banking facility.

- Standing Instructions incomplete in any respect are liable to be rejected.
- SIP is liable for cancellation if direct debit fails for three consecutive times.
- The Bank shall not be liable for, nor be in default by reason of, any failure or delay in completion of its obligations under this Agreement, where such failure or delay is caused, in whole or in part, by any acts of God, civil war, civil commotion, riot, strike, mutiny, revolution, fire, flood, fog, war, lightning, earthquake, change of Government policies, unavailability of Bank's computer system, force majeure events, or any other cause of peril which is beyond the Bank's reasonable control and which has effect of preventing the performance of the contract by the Bank.

C) General Instructions

- For minimum application amount to be invested in SIP, risk factors, features etc. please refer to the scheme related documents available on www.icicipruamc.com or with any of the customer service centres of ICICI Prudential Mutual Fund.**
- If the investor selects multiple SIP frequencies or fails to choose any of them, the default SIP frequency will be Monthly.
- ICICI Prudential Mutual Fund, its registrars and other service providers shall not be responsible and liable for any damages/compensation for any loss, damage etc. incurred by the investor. The investor assumes the entire risk of using this facility and takes full responsibility.
- For load structure of the schemes, please refer to the Key Scheme Features.
- In case of SIP with payment mode as ECS/Auto Debit, investors shall be required to submit a cancelled cheque or a photocopy of a cheque of the bank account for which the debit mandate is provided. It is mandatory to submit cancelled cheque copy OR proof of investments made through NRE account.
- The investor hereby agrees to indemnify and not hold responsible, the AMC and its employees, the R&T agent and the service providers in case his/her bank is not able to effect any of the payment instructions for whatsoever reason.

INSTRUCTIONS FOR FILLING UP THE SIP INSURE FORM

I. GENERAL INSTRUCTIONS

- The application form is for Resident Investors/NRIs/PIOs and should be completed in English in BLOCK Letters.
- The signature(s) should be in English or in any of the Indian languages specified in the eighth schedule of the constitution of India. Thumb impressions must be attested by a Magistrate or a Notary Public or a Special Executive Magistrate under his/her official seal.
Signature mismatch cases: While processing the redemption / switch out request in case the AMC / Registrar come across a signature mismatch, then the AMC/ Registrar reserves the right to process the redemption only on the basis of supporting documents (like copy of passport, driving license etc.), confirming the identity of the investors. A list of such documents may be notified by AMC from time to time on its website.
- If you wish to apply through Auto Debit by way of Electronic Clearing Service (ECS) or Standing Instructions to your bank account, you are required to fill in the respective form, in addition to the Main Application Form.
- The application form number, the scheme name and the name of the applicant should be mentioned on the reverse side of the instrument (Cheque, Demand Draft etc) that accompanies the application.
- The Application completed in all respects along with the cheque/demand draft, must be submitted to the nearest Customer Service Centre. Applications incomplete in any respect or not accompanied by a cheque or demand draft for the amount payable, are liable to be rejected and the money paid will be refunded without interest.
- No receipt will be issued for the Application money. The Customer Service Centers will stamp and return the acknowledgment slip in the application form, to acknowledge receipt of the application.

- Overwriting on application forms/transaction slips:** In case of corrections / overwriting on key fields (as may be determined at the sole discretion of the AMC) of the application forms/transaction slips, the AMC reserves the right to reject the application forms/transaction slips, in case the investor(s) has/have not countersigned in every place where such corrections/overwriting has/have been made.

II. UNITHOLDERS INFORMATION

- Name and address must be given in full (P.O. Box Address is not sufficient). In the case of NRI/PIO investors, an overseas address must also be provided. Date of Birth is mandatory.
- In case of an application under Power of Attorney (PoA), the relevant Power of Attorney to make the application and duly certified copy thereof, must be lodged along with the application form.
Power of Attorney (POA): In case an investor has issued Power of Attorney (POA) for making investments, switches, redemptions etc., under his/her folio, both the signature of the investor and the POA holder have to be clearly captured in the POA document, to be accepted as a valid document. At the time of making redemption / switches the fund would not be in a position to process the transaction unless, PoA holder's signature is available in the PoA or proof of identity along with signature is produced along with the PoA.
- PAN is mandatory:** As per SEBI Circular MRD/Dop/Cir/05/2007 dated April 27, 2007 Permanent Account Number (PAN) has been made the sole identification number for all participants transacting in the securities market, irrespective of the amount of transaction, w.e.f. July 02, 2007. PAN is mandatory for all mutual fund investments w.e.f. 1st January, 2008. Accordingly, any application not accompanied with the PAN is liable to be rejected. Please note that the PAN copy needs to be attested by any of the following: (a) At the Mutual Fund office (verification with original to be done by the person accepting the documents) (b) Your Financial Advisor (c) Your Bank Manager (d) Gazetted Officer (e) Notary (f) Judicial Authority.
- Applicants should indicate their status by ticking the appropriate check-box. Applications without a tick in the 'Status' box will be considered as investment by "Others". Those who select the status as "Others", they should specify their status in the space provided.
- Applicants should specify the mode of holding. In case it is not mentioned, the default will be "anyone or survivor". In the case of joint holders, the first named holder shall receive all the Account Statements, dividends / redemptions / refund warrants and any other correspondence sent from time to time.
- Transactions without Scheme/Option Name:** In case of fresh/additional purchases, if the name of the Scheme on the application form/transaction slip differs with the name on the Cheque/Demand Draft, then the AMC will allot units under the Scheme mentioned on the payment instrument. In case of fresh/additional purchases, if the Scheme name is not mentioned on the application form/transaction slip, then the units will be allotted under the Scheme mentioned on the Cheque/Demand Draft. The Plan/Option that will be considered in such cases if not specified by the customer will be the default option of the Scheme as per the Scheme Information Document. However, in case additional purchase is under the same scheme as fresh purchase, then the AMC reserves the right to allot units in the option under which units were allotted at the time of fresh purchase.

III. BANK DETAILS

The first unit holder should provide the name of the bank, branch, complete address of the branch, account type and account number, which is mandatory as per Securities Exchange Board of India circular I/AMRP/MF/CIR/07/826/98 dated April 15, 1998. Applications without this information will be deemed to be incomplete. Please quote 9 Digit MICR Code No. of your Bank and Branch corresponding to Bank Account details. (This number appears on every leaf of your cheque book after your cheque number). Please attach a blank "cancelled" Cheque or a clear photocopy of a cheque issued by your bank verifying of the Code Number. The AMC reserves the right to make dividend/redemption payments through ECS/NFT/RTGS where details are available.

Change of Bank Mandate: With effect from October 25, 2011, the request for change of bank (COB) shall be submitted along with the original cancelled cheque of the new bank with the investor name mentioned on the cheque or copy of the bank statement/pass book duly attested by the new Bank, evidencing the name and bank account details of the investor.

IV. INVESTMENT DETAILS

- The Investor has to fill separate form for each scheme that he/she wishes to invest in through Systematic Investments. The Investor can invest in only one scheme through one Systematic Investment form; he/she has to fill a separate form for each scheme in which he/she wishes to invest in through a Systematic Investment Plan.
- Investor should select only one option (e.g. growth/dividend) and corresponding sub-option (e.g. Reinvest/Payout in case of Dividend Option) under the scheme(s) wherever applicable by ticking the appropriate box.
- In case, the investor has not selected the option/sub-option for his investments, default option/sub-option as prescribed in the Scheme Information Document of the relevant scheme will be applied.
- For minimum application amount, exit load, etc. please refer to the scheme related documents which are available on our website www.icicipruamc.com or at any of our customer service centres.
- Please submit all the respective documents alongwith your application (where applicable). All documents should be in original/true copies by director/trustee/company secretary/authorised signatory.**

V. SYSTEMATIC INVESTMENT PLAN (SIP)

- For availability of Systematic Investment Plan (SIP) Facility, please refer to the scheme related documents which are available on our website www.icicipruamc.com or at any of our customer service centres and also refer to the Instruction No. VI (a) for mode of payment for SIP through PDCs.

- Investors opting for the SIP Facility, need to fill the Common Application Form For Systematic Investments and tick any of the payment option such as
 - SIP payment through Bank Standing Instruction/Direct Debit
 - Auto Debit by way of Electronic Clearing Service (ECS)
 - Post Dated Cheques (PDCs).
 - If the payment option is Auto Debit through ECS or Standing Instruction to the Bank, investors also need to fill & sign the respective Standing Instructions form.
- All the SIP instalment amount should be of the same amount.
- Investors subscribing for SIP are required to submit their SIP request at least 30 days prior to the date of first debit date and the SIP start date shall not be beyond 60 days for monthly SIP and 100 days for Quarterly SIP from the date of submission of SIP application.
- In case of SIP with payment mode as ECS/Auto Debit, the investors are required to submit a cancelled cheque or a photocopy of a cheque of the bank account for which the debit mandate is provided.
- For exit load structure under the Schemes, please refer to the scheme related documents which are available on our website www.icicipruamc.com or at any of our customer service centres.
- Please issue post dated cheques dated for either the 7th or 10th or 15th or 25th of the month. A credit confirmation will be sent to the unit holder indicating the new balance to his or her credit in the account.
 - For cheques drawn from locations as determined by ICICI Prudential Asset Management Company Ltd. (the AMC) from time to time and notified on its website www.icicipruamc.com
- Option 1:** The First SIP instalment should be paid through a Demand Draft payable at places where the Official Points of Acceptance of Transaction of the AMC are located. All the other instalments should be through post dated cheques for the SIP dates, i.e. the 7th or 10th or 15th or 25th of the month. The SIP date selected by an Investor should fall at least 30 days after the date of first SIP instalment. For Example; if the first SIP instalment is on October 12, 2010, then the SIP date that can be selected by an investor for the second and subsequent instalments should fall after November 11, 2010.
- Option 2:** In case all the instalments (i.e. including the first Instalment) are paid through post-dated cheques, the SIP Application along with the post-dated cheques should be submitted at the Official Points of Acceptance of Transactions of the AMC, at least 30 days before the start of the SIP. The Post dated cheques for all of the SIP instalments (including the first Instalment), should be dated as per the specified SIP dates only, i.e. the 7th or 10th or 15th or 25th of the month.
- For all SIP applications with Post Dated Cheques, the SIP date selected by an Investor should fall at least 30 days after the date of first SIP instalment. For Example; if the first SIP instalment is on October 12, 2010, then the SIP date that can be selected by an investor for the second and subsequent instalments should fall after November 11, 2010.

- MICRO SIP:** Systematic Investment Plans (SIP's) where the aggregate of instalments in a rolling 12 month period or in a financial year i.e. April to March, does not exceed Rs 50,000 (to be referred as "Micro SIP" hereinafter). Micro SIP investors are required to submit any of the following **Photo Identification Documents** along with the Micro SIP applications as a proof of identification, if the investors PAN Card details are not provided: (1) Voter Identity Card; (2) Driving License; (3) Government/Defense identification card; (4) Passport; (5) Photo Ration Card; (6) Photo Debit Card (Credit card not included because it may not be backed up by a bank account); (7) Employee ID cards issued by companies registered with Registrar of Companies (the database is available at the following link of the Ministry of Company Affairs - <http://www.mca.gov.in/DCAPortalWeb/>); (8) Photo Identification issued by Bank Managers of Scheduled Commercial Banks / Gazetted Officer / Elected Representatives to the Legislative Assembly / Parliament; (9) ID card issued to employees of Scheduled Commercial / State / District Co-operative Banks; (10) Senior Citizen/ Freedom Fighter ID card issued by Government; (11) Cards issued by Universities / deemed Universities or institutes under statutes like ICAI, ICWA, ICSI; (12) Permanent Retirement Account No (PRAN) card issued to New Pension System (NPS) subscribers by CRA (NSDL); (13) Any other photo ID card issued by Central Government / State Governments / Municipal authorities/ Government organizations like ESIC/EPFO. From 1st January, 2012, KYC is mandatory for Micro SIP.

Investors (including joint holders) will submit a photocopy of any one of the above documents identified along with the Micro SIP application. Supporting document should be current and valid and copy shall be self attested by the investor/attested by the ARN holder mentioning the ARN. Micro SIP application without the supporting document will liable to be rejected.

This exemption will not be applicable to normal purchase transactions upto Rs. 50,000 which will continue to be subject to PAN requirement.

This exemption will be applicable ONLY to investments by individuals (including NRIs but not PIOs), Minors, Sole proprietary firms and Joint holders. HUFs and other categories will not be eligible for Micro SIPs.

In case the first Micro SIP instalment is processed (as the cheque may be banked), and the application is found to be defective, the Micro SIP registration will be ceased for future instalments. No refunds to be made for the units already allotted. Investor will be sent a communication to this effect, however, redemptions shall be allowed.

VI. MODE OF PAYMENT

- The cheque/demand draft should be drawn in favour of ICICI Prudential "Scheme Name" for example ICICI Prudential Dynamic Plan, as the case may be and crossed "Account Payee Only". You may also refer to the Instruction for the same.
- Separate Cheques / Demand Drafts are required for each scheme in which an investor invests.
- Payments by Stock-invests, cash, postal orders, money orders and outstation cheques will not be accepted.
- The Fund will bear the demand draft charges subject to a maximum of Rs. 50,000/- per transaction for purchase of units by investors residing at locations where the Asset Management Company (AMC's) Customer Service Centers/Collection Centers are not located as mentioned in the table below:

Amount of Investment	Rate of Charges of Demand Draft(s)
Upto Rs. 10,000/-	At actual, subject to a maximum of Rs. 50/-
Above Rs. 10,000/-	Rs. 3/- per Rs. 1000/-
Maximum Charges	Rs. 50,000/-

The AMC reserves the right to refuse the reimbursement of demand draft charges, in case of investments made by the same applicant(s) through multiple applications at its own discretion, which will be final and binding on the investor.

Investors residing at places other than where the AMC Customer Service Centers/ Collection Centers are located are requested to make the payment by way of demand draft(s) after deducting bank charges as per the rates indicated in the above table. It may be noted that additional charges, if any, incurred by the investor over and above the levels indicated above will not be borne by the Fund.

No demand draft charges will be reimbursed by the Fund for purchase of Units by investors residing at such locations where the Customer Service Centers/ Collection Centers of the AMC are located.

INSTRUCTIONS FOR FILLING UP THE SIP INSURE FORM (Contd.)

e) **Investment/subscription made through Third Party Cheque(s) will not be accepted. Third party cheque(s) for this purpose are defined as:** (i) Investment made through instruments issued from an account other than that of the beneficiary investor, (ii) in case the investment is made from a joint bank account, the first holder of the mutual fund folio is not one of the joint holders of the bank account from which payment is made.

Third party cheque(s) for investment/subscription shall be accepted, only in exceptional circumstances, as detailed below:

- 1) Payment by Parents/Grand-Parents/related persons on behalf of a minor in consideration of natural love and affection or as gift for a value not exceeding Rs.50,000/- (each regular purchase or per SIP instalment). However this restriction will not be applicable for payment made by a guardian whose name is registered in the records of Mutual Fund in that folio.
- 2) Payment by Employer on behalf of employee under Systematic Investment Plans through Payroll deductions.

The above mentioned exception cases will be processed after carrying out necessary checks and verification of documents attached along with the purchase transaction slip/application form, as stated below:

- 1) Determining the identity of the Investor and the person making payment i.e. mandatory Know Your Client (KYC) for Investor and the person making the payment.
- 2) Obtaining necessary declaration from the Investor/unitholder and the person making the payment. Declaration by the person making the payment should give details of the bank account from which the payment is made and the relationship with the beneficiary.
- 3) Verifying the source of funds to ensure that funds have come from the drawer's account only. In case of investment/subscriptions made via Pay Order, Demand Draft, Banker's cheque, RTGS, NEFT, ECS, bank transfer, net banking etc. Following additional checks shall be carried out:
 - 1) If the investment/subscription is settled with pre-funded instruments such as Pay Order, Demand Draft, Banker's cheque, etc., a Certificate from the Issuing banker must accompany the purchase application, stating the Account holder's name and the Account number which has been debited for issue of the instrument. The funds should be debited from a pre-registered pay in account available in the records of the Mutual fund, or from the account of the first named unit holder. Additionally, if a pre-funded instrument issued by the Bank against cash, it shall not be accepted for investments of Rs.50,000/- or more. Such pre-funded instrument issued against cash payment of less than Rs.50,000/- should be accompanied by a certificate from the banker giving name, address and PAN (if available) of the person who has requested for the demand draft.
 - 2) If payment is made by RTGS, NEFT, ECS, bank transfer, etc., a copy of the instruction to the bank stating the account number debited must accompany the purchase application. The account number mentioned on the transfer instruction should be from pay in account available in the records, or from the account of the first named unit holder.

Investors are requested to note that AMC reserves right to have additional checks of verification for any mode of payment received. AMC reserves the right to reject the transaction in case the payment is received in an account not belonging to the first unit holder of the mutual fund. In case of investors with multiple accounts, in order to ensure smooth processing of investor transactions, it is advisable to register all such accounts, as the investments/subscriptions received from the said multiple accounts shall be treated as 1st party payments.

Refer Third Party Payment Declaration form available on www.icicipruamc.com or at ICICI Prudential Mutual Fund branch offices.

f) NRI/PIO Investors

1. **Repatriation basis:** Payments by NRI's /Persons of Indian Origin (PIO's) residing abroad, may be made either by way of Indian Rupee demand drafts or cheques by means of (i) inward remittance through normal banking channels; (ii) or out of funds held in NRE/FCNR accounts payable at par and payable at the cities where the Customer Service Centre's are located. In case of Indian Rupee drafts purchased or cheques issued from NRE/FCNR Account, an account debit certificate from the bank issuing the draft confirming the debit should also be enclosed. In case the debit certificate is not provided, the AMC reserves the right to reject the application of the NRI investors.
2. **Non Repatriation basis:** NRIs or people of Indian origin residing abroad investing on a non-repatriable basis may do so by issuing cheques / demand drafts drawn on a Non-Resident Ordinary (NRO) account payable at the cities where the Customer Service Centres are located.

VII. NOMINATION

- a. Filling the nomination details with full address is mandatory for individuals applying for / holding units on their own behalf singly/jointly. All joint holders will sign the nomination form.
- b. Unit holder can make multiple nomination using the respective form available on www.icicipruamc.com or with any of the ICICI Prudential Mutual Fund customer service centres. The said nomination would be applicable for Mutual fund holding in the respective folio. However, only the first nominee mentioned in the Multiple Nomination form would be registered for insurance processing.
- c. All payments and settlements made to such nominee and signature of the Nominee acknowledging receipt thereof, shall be a valid discharge by the AMC/ Mutual Fund / Trustees.
- d. A minor can be nominated and in that event, the name, relationship and address of the guardian of the minor nominee shall be provided by the unit holder. Guardian of the minor should either be a natural guardian (i.e. father or mother) or a court appointed legal guardian. Nomination can also be in favour of the Central Government, State Government, a local authority, any person designated by virtue of his office or a religious or charitable trust.
- e. Nomination in respect of the units stands withdrawn upon the transfer of units.
- f. Every new nomination for a folio/account will overwrite the existing nomination.
- g. Transfer of units in favour of a Nominee shall be valid discharge by the Asset Management Company (AMC) against the legal heir.
- h. The cancellation of nomination can be made only by those individuals who hold units on their own behalf, singly or jointly and who made the original nomination and the request has to be signed by all the holders.
- i. On cancellation of the nomination, the nomination shall stand withdrawn and the AMC shall not be under any obligation to transfer the units in favour of the Nominee.
- j. Unit holder can nominate only person(s) in whom the units held by him/her/ them and the eligible insurance cover shall vest in event of his / her/their death.

VIII. DIRECT CREDIT OF DIVIDEND/REDEMPTION: ICICI Prudential AMC had entered into an arrangement with certain banks; such as Citibank N.A., HDFC Bank, HSBC and ICICI Bank, for direct credit of redemption and dividend proceeds if the investors have a bank mandate any of the specified banks. However, the Fund reserves the right to issue a payment instrument in place of this direct credit facility, and will not be responsible for any delay on the part of the bank for executing the direct credit. The AMC may alter the list of the banks participating in direct credit arrangement from time to time / withdraw direct credit facility from the banks, based on its experience of dealing with any of these banks or add / withdraw the name of the bank with which the direct credit facility arrangements can be introduced/discontinued, as the case may be.

IX. E-MAIL COMMUNICATION: Delivering service through the internet & web-based services such as e-mail is a more efficient delivery channel. When an investor has communicated his / her e-mail address and provided consent for sending communication only through email, the Mutual Fund / Registrars are not responsible for email not reaching the investor and for all consequences thereof. The Investor shall from time to time intimate the Mutual Fund / its transfer agents about any changes in the email address. In case of a large document, a suitable link would be provided and investor can download, save and print these documents. However, the investor always has a right to demand a physical copy of any or all the service deliverables, and the Fund would arrange to send the same to the investor. Account statements will be sent via email, by default, to investors who have provided their email ID, unless specified otherwise. It is deemed that the Unit holder is aware of all the security risks associated with online communication,

including the possibility of third party interception of the documents sent via email.

X. KNOW YOUR CUSTOMER (KYC) NORMS: With effect from 1st January, 2011, KYC (Know Your Customer) norms are mandatory for ALL investors for making investments in Mutual Funds, irrespective of the amount of investment. Further, to bring uniformity in KYC process, SEBI has introduced a common KYC application form for all the SEBI registered intermediaries. With effect from 1st January 2012, all the new investors are therefore requested to use the Common KYC application form to apply for KYC and mandatorily undergo In Person Verification (IPV) requirements with SEBI registered intermediaries. For Common KYC Application Form please visit our website www.icicipruamc.com.

XI. TRANSACTION CHARGES

Pursuant to SEBI Circular No. Cir/IMD/DF/13/2011 dated August 22, 2011 the transaction charge per subscription of Rs.10,000/- and above may be charged in the following manner:

- a. The existing investors may be charged Rs.100/- as transaction charge per subscription of Rs.10,000/- and above;
- b. A first time investor may be charged Rs.150/- as transaction charge per subscription of Rs.10,000/- and above.

There shall be no transaction charge on subscription below Rs. 10,000/- and on transactions other than purchases/subscriptions relating to new inflows.

The aforesaid transaction charge shall be deducted by the Asset Management Company from the subscription amount and paid to the distributor.

XII. TERMS FOR GROUP LIFE INSURANCE COVER

1. ICICI Prudential SIP Insure as an add-on, optional feature will be available for the following schemes of ICICI Prudential Mutual Fund:
ICICI Prudential Infrastructure Fund • ICICI Prudential Dynamic Plan • ICICI Prudential Focused Bluechip Equity Fund • ICICI Prudential Tax Plan • ICICI Prudential Discovery Fund • ICICI Prudential MidCap Fund • ICICI Prudential Top 100 Fund • ICICI Prudential Top 200 Fund • ICICI Prudential FMCG Fund • ICICI Prudential Balanced Fund • ICICI Prudential Technology Fund • ICICI Prudential Services Industries Fund • ICICI Prudential Equity & Derivatives Fund - Volatility Advantage Plan • ICICI Prudential Indo Asia Equity Fund • ICICI Prudential Banking & Financial Services Fund • ICICI Prudential Child Care Plan - Gift Plan.
2. The AMC may provide a Group Life Insurance Cover to all Resident Individual/NRI applicants and fund the premia towards such cover. Non-individuals as well as US Persons/ Persons not of Indian Origin/Sole Proprietorship will not be covered under the insurance cover.
3. The insurance cover will be available for individuals aged above 18 years and not more than 46 years, at the time of the first investment.
4. Only the First / Sole unit holder will be covered under the insurance. No insurance cover will be provided for the second / third unitholder.
5. **Tenure of SIP INSURE:** 55 Years less the current completed age of the investor.
6. **Amount of Life Insurance Cover:**

If SIP INSURE continues, the insurance cover would be as follows

- **Year 1** : 10 times the monthly SIP INSURE instalment
- **Year 2** : 50 times the monthly SIP INSURE instalment
- **Year 3 onwards** : 100 times the monthly SIP INSURE instalment

All the above mentioned limits are subject to maximum cover of Rs. 20 lacs per investor across all schemes/plans/folios.

If SIP INSURE discontinues, the insurance cover would be as follows:

- **SIP INSURE discontinues before 3 years** : Insurance cover stops immediately
- **SIP INSURE discontinues after 3 years** : Insurance cover equivalent to the value of units allotted under SIP INSURE investment at the start of the each policy year, subject to a maximum of 100 times the monthly instalment, capped at the maximum of 20 lacs.

The insurance cover will also cease

- **At the end of the tenure, i.e., upon completion of 55 years of age.**
- **Redemption /switch-out (fully or partly) of units purchased under the scheme in which SIP INSURE facility is availed before the completion of the SIP INSURE tenure.**

7. The investor will necessarily be required to furnish his / her date of birth, gender and details of the nominee in the application form, in absence of which, no insurance cover can be availed by the investor. The Group Life Insurance Cover will be governed by the terms and conditions of the insurance policy with the relevant Insurance Company as determined by the AMC.
8. In case of death of the applicant, his / her legal representatives may file a claim directly with the designated branch of the Insurance Company supported by all relevant documents as required by the Insurer and the payment of the claim may be made to the legal representatives by the insurance company.
9. All insurance claims will be settled in India and shall be payable in Indian Rupees only. Settlement procedure will be as stipulated by the Insurance Company. Insurance claims will be directly settled by the Insurance Company.
10. The AMC will not be responsible or liable for maintaining service levels and/or any delay in processing claims arising out of this facility.
11. The Mutual Fund, Trustees, AMC, or their Directors, officers or employees shall not be liable for any claims (including but not limited to rejection of any claim, non-settlement, delays etc.) arising out of the insurance cover provided to the unit holder.
12. The AMC is bringing this offer to the investors of the Scheme only as an additional facility and is not acting as an agent for marketing/sales of insurance policies nor soliciting any business.
13. Subject to what has been stated above, the AMC reserves a right to modify / annul the said Group Insurance Cover on a prospective basis. The AMC also reserves the right to change the insurance company from time to time.
14. The Group Insurance cover will be subject to the following exclusions and such other terms and conditions as may be prescribed by the insurance certificate governing the cover:
 - a. The Group Insurance cover shall not extend to cover instances of death due to suicide in the first year of cover.
 - b. Death within 45 days from the commencement of the SIP instalments except for death due to accident
15. The legal representatives will have to file their claims directly with the insurance company.
16. The AMC will not entertain any request for claims.
17. The provision for the Group Life Insurance Policy does not have any bearing on the performance of the scheme.
18. For the purpose of availing of the SIP Insure facility, a unique folio will be created. If any other transaction such as additional purchase, fresh purchase, switchin, switchout, SIP, STP, folio consolidation request, is made under this unique folio, the Insurance cover will be cancelled with immediate effect.

Mutual Fund investments are subject to market risks, read all scheme related documents carefully.