

APPLICATION FORM FOR SYSTEMATIC TRANSFER PLAN

(For Existing Unit Holders only)

Please read the instructions overleaf carefully and complete the relevant sections legibly in black / dark coloured ink and in BLOCK LETTERS.
Please strike off unused section(s) to avoid unauthorised use

Broker Code/ ARN	Sub-Broker Code/ Branch Code	Branch Manager Code	LG/ MO/ CRE Code	EUIN* (Refer Section 'C' of instructions)	Ref. No.
ARN 111873				E 025671	

I/We hereby confirm that the EUIN box has been intentionally left blank by me/us as this is an "execution-only" transaction without any interaction or advice by the employee/relationship manager/sales person of the above distributor or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributor and the distributor has not charged any advisory fees on this transaction.

Signature <small>Sole/ First Applicant/ Guardian/ POA/ Authorised Signatory</small>	Signature <small>Second Applicant / POA / Authorised Signatory</small>	Signature <small>Third Applicant / POA / Authorised Signatory</small>
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New Registration **Cancellation**
Date:

D	D	M	M	Y	Y	Y	Y
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1. EXISTING UNITHOLDER(S) INFORMATION*

Existing Folio No.	*Mandatory	Permanent Account Number (PAN)
Name of the Unit Holder [Please Tick (✓)]		
<input type="radio"/> Mr. <input type="radio"/> Ms. <input type="radio"/> M/s.		
Email Id		

2. STP DETAILS (Refer Section 'A' of instructions) [Please Tick (✓)]

	From Scheme	To Scheme																
Name of the Scheme																		
Plan	<input type="radio"/> Direct Plan <input type="radio"/> Other than Direct Plan	<input type="radio"/> Direct Plan <input type="radio"/> Other than Direct Plan																
Option	<input type="radio"/> Growth <input type="radio"/> Dividend Pay Out <input type="radio"/> Dividend Sweep <input type="radio"/> Dividend Re-investment	<input type="radio"/> Growth <input type="radio"/> Dividend Pay Out <input type="radio"/> Dividend Sweep <input type="radio"/> Dividend Re-investment																
Frequency	<input type="radio"/> Weekly STP (Monday to Friday) <input type="radio"/> Fortnightly STP <small>Day of Transfer</small> Every Alternate Wednesday	<input type="radio"/> Monthly STP (Default) <input type="radio"/> Quarterly STP <input type="radio"/> Half Yearly STP <input type="radio"/> 2nd <input type="radio"/> 8th (Default) <input type="radio"/> 15th <input type="radio"/> 23rd																
Enrolment Period	From <table border="1" style="display: inline-table; border-collapse: collapse;"><tr><td style="width: 20px; height: 20px;">D</td><td style="width: 20px; height: 20px;">D</td><td style="width: 20px; height: 20px;">M</td><td style="width: 20px; height: 20px;">M</td><td style="width: 20px; height: 20px;">Y</td><td style="width: 20px; height: 20px;">Y</td><td style="width: 20px; height: 20px;">Y</td><td style="width: 20px; height: 20px;">Y</td></tr></table>	D	D	M	M	Y	Y	Y	Y	To <table border="1" style="display: inline-table; border-collapse: collapse;"><tr><td style="width: 20px; height: 20px;">D</td><td style="width: 20px; height: 20px;">D</td><td style="width: 20px; height: 20px;">M</td><td style="width: 20px; height: 20px;">M</td><td style="width: 20px; height: 20px;">Y</td><td style="width: 20px; height: 20px;">Y</td><td style="width: 20px; height: 20px;">Y</td><td style="width: 20px; height: 20px;">Y</td></tr></table>	D	D	M	M	Y	Y	Y	Y
D	D	M	M	Y	Y	Y	Y											
D	D	M	M	Y	Y	Y	Y											
Transfer Amount in (₹ Figures)		Transfer Amount in (₹ words)																

3. DECLARATION & SIGNATURES* (Refer Section 'B' of instructions)

I/We have read and understood the contents of the Scheme Information Document and Statement of Additional Information, Key Information Memorandum, Instructions and addenda issued by Union Mutual Fund (formerly Union KBC Mutual Fund), I/We, hereby apply to the Trustee of Union Mutual Fund for Units of the relevant Scheme and agree to abide by the terms and conditions, rules and regulations of the Scheme. I/We have neither received nor been induced by any rebate or gifts, directly or indirectly in making this investment. I/We declare that the amount invested in the Scheme is through legitimate sources only and is not designed for the purpose of contravention or evasion of any Act, Regulation, Rule, Notification, Directions or any other applicable laws enacted by the Government of India or any Statutory Authority. The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us. I/We hereby confirm that Union Mutual Fund/ Union Asset Management Company Private Limited (formerly Union KBC Asset Management Company Private Limited) and its empanelled broker(s) has not given any indicative portfolio and indicative yield, in any manner whatsoever. I/We hereby confirm that at the time of investment, I/We have the express authority to invest in units of the Scheme and the AMC/ Trustee/ Mutual Fund/ Sponsor will not be responsible if such investment is ultravires the relevant constitution.

Signature <small>Sole / First Applicant / Guardian / POA / Authorised Signatory</small>	Signature <small>Second Applicant / POA / Authorised Signatory</small>	Signature <small>Third Applicant / POA / Authorised Signatory</small>
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ACKNOWLEDGEMENT SLIP (To be filled in by the investor)

Folio No.

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Ref. No.

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Received from: Mr./ Ms./ M/s _____ Date: / /

Scheme/ Plan/ Option - _____

To Scheme - _____

STP Period	From	<table border="1" style="display: inline-table; border-collapse: collapse;"><tr><td style="width: 20px; height: 20px;">D</td><td style="width: 20px; height: 20px;">D</td><td style="width: 20px; height: 20px;">M</td><td style="width: 20px; height: 20px;">M</td><td style="width: 20px; height: 20px;">Y</td><td style="width: 20px; height: 20px;">Y</td><td style="width: 20px; height: 20px;">Y</td><td style="width: 20px; height: 20px;">Y</td></tr></table>	D	D	M	M	Y	Y	Y	Y	To	<table border="1" style="display: inline-table; border-collapse: collapse;"><tr><td style="width: 20px; height: 20px;">D</td><td style="width: 20px; height: 20px;">D</td><td style="width: 20px; height: 20px;">M</td><td style="width: 20px; height: 20px;">M</td><td style="width: 20px; height: 20px;">Y</td><td style="width: 20px; height: 20px;">Y</td><td style="width: 20px; height: 20px;">Y</td><td style="width: 20px; height: 20px;">Y</td></tr></table>	D	D	M	M	Y	Y	Y	Y
D	D	M	M	Y	Y	Y	Y													
D	D	M	M	Y	Y	Y	Y													
Amount in ₹			No. of Installment																	

Please note: All purchases are subject to realisation of cheques.



Union
MUTUAL FUND
Your Bridge to Responsible Investing

Collection centre's stamp with date and time of receipt

For Office use only

INSTRUCTIONS

General

1. This form should be used by existing investors only by mentioning their folio number, name and scheme details. Please read the Statement of Additional Information (SAI), respective Scheme Information Document (SID) including Addendum(s) thereto, if any, Key Information Memorandum (KIM) and instructions there-in and below mentioned instructions carefully before filling up this form.
2. This Form should be completed in English and in Block Letters only using black or blue coloured ink.
3. Please tick (✓) in the appropriate circle (0).
4. The Form should be complete in all respects & should be submitted at any of the Customer Service Centres (CSCs) / Official Points of Acceptance (OPAs) of Computer Age Management Services Pvt. Ltd. (CAMS) or Union Mutual Fund.
5. Signature(s) should be as provided under the existing folio and in the same order. In case the mode of holding is joint, all unit holders are required to sign.
6. If any chosen day falls on a non-business day, the next business day of the scheme will be considered as the transaction date.
7. Incorrect / Incomplete applications are liable to be rejected.
8. Default Option may be applied in case of no information, ambiguity or discrepancy.
9. The Trustee reserves the right to change/modify the terms and conditions of STP.
10. The Load Structure prevailing at the time of submission of the STP application will apply for all the installments indicated in such application.

A. Systematic Transfer Plan (STP)

1. A minimum period of 8 days shall be required for registration under STP. In case the required time of 8 calendar days are not met, then the STP will be processed from the next STP cycle.
2. The investors can choose any one of the dates among 2nd, 8th, 15th or 23rd of the month as the STP date for Monthly, Quarterly & Half Yearly STP (in case any of these days fall on a non business day, the transaction will be effected on the next business day of the Scheme).

Frequency	Cycle Day/ Date
Weekly STP	Monday to Friday (Default - Wednesday)
Fortnightly STP	Every Alternate Wednesday
Monthly STP (Default)	2 nd , 8 th (Default), 15 th or 23 rd of the month
Quarterly STP	2 nd , 8 th (Default), 15 th or 23 rd of the month
Half Yearly STP	2 nd , 8 th (Default), 15 th or 23 rd of the month

3. The provisions relating to STP including minimum instalment size, available frequencies are specified in the respective SID/KIM. In case of STP, minimum application amount specified in the SID of the respective designated Transferee Scheme will be applicable.
4. Units marked under Lien, Pledge or Lock-in Period in the Transferor scheme will not be eligible for STP.
5. An investor has to clearly specify the name & the option of the Transferor & Transferee scheme in the enrolment form. If name of the Transferor or Transferee Scheme is not stated or in case of any ambiguity, STP enrolment request shall be liable to get rejected. In the absence of information, the default option for transferee scheme shall be growth option. All valid applications will be processed as per the applicable uniform cut off timings on the working days as mentioned in the respective scheme SID.

6. If the required minimum balance is not available in the transferor scheme for 3 consecutive attempts, the STP registered will be terminated.
7. STP will be automatically terminated if all units are liquidated or withdrawn from the Transferor Scheme or pledged or upon receipt of intimation of death of the unit holder.
8. A request for STP will be treated as a request for redemption from the Transferor scheme and subscription into the selected Transferee scheme(s), option(s) / plan(s), at the applicable NAV, subject to load and statutory levy, if any.
9. In case the balance in the account of the unitholder in the transferor scheme during the tenure of processing STP is below the minimum required STP amount, then the Mutual Fund is authorized to transfer all the balance units in the folio, subject to the compulsory lock in period of three years. However, this check will not be done after the completion of opted STP period. (The remaining balance units will continue in the transferor scheme, even if it is less than the minimum amount. Investor can do additional purchase any time in the folio).
10. Unit holders will have the right to discontinue the STP facility at any time by sending a written request to the Customer Service Centres (CSC) of the AMC or its Registrar. Notice of such discontinuance should be received at least 8 days prior to the due date of the next transfer date. On receipt of such request, the STP facility will be terminated.

B. Declaration and Signature(s)

1. Signature(s) should be in English or in any of the Indian languages specified in the eighth schedule of the Constitution of India.
2. Thumb impressions (left hand for males and right hand for female) and signatures in languages not specified in the Eighth Schedule of the Constitution of India should be attested by a Magistrate or a Notary public or a Special Executive Magistrate under his/ her official seal.
3. Applications by minors should be signed by their guardians.
4. In case of an HUF, the Karta should sign on behalf of the HUF.
5. If the application form is signed by a Power of Attorney (PoA) holder, the form should be accompanied by a notarised photocopy of the PoA. Alternatively, the original PoA may be submitted with the application, which will be returned after verification. If the PoA is not submitted with the application, the application form will be rejected.
6. In case of non-individual investors, a list of authorised signatories should be submitted along with application form or in case of any change in the authorised signatories list; the AMC/ Registrar must be notified within 7 days.

C. EMPLOYEE UNIQUE IDENTIFICATION NUMBER (EUIN):

As per SEBI Circular No. CIR/IMD/DF/21/2012 dated September 13, 2012 and AMFI Guidelines on implementation of EUIN, it is mandatory to state the Employee Unique Identification Number (EUIN) of the employee/ relationship manager/ sales person of the distributor interacting with the investor for the sale of mutual fund products, in addition to the AMFI Registration Number (ARN) of the distributor in the space indicated in the application form. **In case the EUIN box is intentionally left blank in the absence of any client facing interaction, then it is required to mandatorily tick against the confirmation/ declaration stating that the transaction is an "execution-only" transaction, mentioned below the box/ space provided for the ARN Number/ EUIN in the application form and also provide signature(s) in the signature pane appearing just below the confirmation/declaration.** The mentioning of the EUIN shall assist the AMC to tackle the problem of mis-selling by the distributors/its employees/ relationship manager/ sales person.

Please address all future communication(s) in connection with this application to the Registrar & Transfer Agent of the Scheme:

Computer Age Management Services Pvt. Ltd.,

Unit: Union Mutual Fund (formerly Union KBC Mutual Fund)

Ground Floor No.178/10, Kodambakkam High Road, Opp. Hotel Palmgrove, Nungambakkam, Chennai, Tamil Nadu - 600 034.

Email: enq_uk@camsonline.com | Website: www.camsonline.com



Union Asset Management Company Pvt. Ltd.
(formerly Union KBC Asset Management Company Private Limited)

Unit 802, 8th Floor, Tower 'A', Peninsula Business Park,
Ganpatrao Kadam Marg, Lower Parel (West), Mumbai - 400 013.

Toll Free : 1800 200 2268 | Tel No. : 022 24833333

Website: www.unionmf.com | Email : investorcare@unionmf.com